

# Solutions for Investigations & Compliance

fact sheet

Supporting corporate investigations & compliance challenges—from strategy to implementation.

- ▶ Trusted experts solving today's complex and demanding global compliance & investigations challenges
- ▶ Leverage specialized technologies to pro-actively assess the presence of risk efficiently and accurately
- ▶ Develop a cost-effective response to investigations with proven, efficient workflows
- ▶ Enhance compliance programs by developing and implementing robust best-in-class information governance strategies

## By the Numbers

300+

Compliance, Investigations, and Due Diligence Matters Served

70+

Offices, Data Centers & Review Facilities around the world

7,000+

Matters Currently Hosted Globally

2,500+

Employees Worldwide

The convergence of matters of all sizes, sensitive business matters, and tight timelines provide corporate clients with unique challenges when responding to investigations and compliance matters. Consilio provides sophisticated data analysis technologies, proven workflows, and expert assistance to develop sound compliance and investigation strategies that enhance legal and regulatory compliance, lower downstream eDiscovery and storage costs, protect and secure sensitive data, and foster productivity and collaboration.

### Proven Investigations, Compliance & Due Diligence Matter Expertise



#### Compliance

- FCPA
- British Bribery Act
- Stark Act
- FERPA
- EEOC
- Settlement Terms
- Dawn Raids
- Anti-Trust

#### Investigations

- Sexual Harassment
- Field Audits
- Tax Fraud
- Insurance Fraud
- Internal Fraud & Trading
- Whistleblower Allegations
- Tort Liability
- Theft of Trade Secrets
- Title IX, Bias & Discrimination

#### Due Diligence

- M&A Acquisition Risk
- Hart-Scott-Rodino
- TFEU (Art. 101 & 102)
- Cross-Border Transactions
- Departed Employee Protocol
- Hostile Workplace
- Corporate Ethics
- Competition Audits

### Specialized Tools & Workflows for Investigations & Compliance Matters

Our comprehensive approach enables us to deliver end-to-end solutions that assist your organization with the tools, expertise, and processes to respond effectively to compliance:



## Services Offered

Data Forensics & Investigations  
On-site Investigative Support  
Risk Management & Compliance  
Custom Taxonomy Consulting  
Drag-and-Drop Data Processing & Hosting When Required  
Document Review

## RISKOVERY®

AI-driven analytics platform that connects to popular data sources (like Dropbox®, O365™, and SharePoint®), ingest collected data sets to allow investigators to identify risk, expand and, narrow topics of interest.

- Audit data sub-sets to ascertain relevance
- Expand or contract investigations to relevant issues even when obfuscation is attempted
- Identify populations that require special handling/forensics methods
- Verify and augment an organization's data map
- Prioritize collection efforts and assess the most critical evidence early in the matter
- Refine legal hold strategies and ensure appropriate issuance
- Identify malfeasance or issues of focus in any language
- Provide the mechanism for defensible deletion

Full-featured end-to-end eDiscovery platform offering investigative tools, linear-review capabilities and analytics in one platform. Data can be drag-and-drop uploaded and processed by clients or by Consilio project management.



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### Advanced Message Review™

Import collected data from mobile devices, including from popular mobile apps (like WhatsApp and iOS messages) and efficiently review multi-modal communications in an easy-to-navigate user experience in Advanced Message Review™ or Riskcovery.

## Comprehensive Approach

Our comprehensive approach enables us to understand your investigation and compliance challenges and goals, then recommend solutions that assist your organization with the tools, expertise, and processes to respond effectively and efficiently. Our information governance capabilities include:

- **Information governance diagnostic assessments and analyses**, including strategy and implementation plans designed to achieve measurable results within realistic time frames.
- **Evaluation, development, updating and globalization of records retention schedules** using our extensive domestic and international regulatory research capabilities. Including data remediation & defensible disposition strategies
- **Evaluation, selection and guidance on a variety of applications, systems and platforms** that support retention schedule management, imaging, discovery, data mapping, legal holds, contract management, enterprise content management and collaborative technologies.:

*“We weren’t sure how or where to start. The Consilio team came in and not only designed the path forward but guided us to success.”*

**VP Compliance**  
Fortune 200

### CASE STUDY:

#### *Riskcovery helps drive rapid response to a DOJ Civil Investigative Demand*

A U.S.-based healthcare provider faced a Department of Justice Civil Investigative Demand (CID) investigating a claim of receiving free testing materials from a specific laboratory for quid pro quo referrals –in potential violation of the Stark Law. The client, suspecting a terminated employee had made the accusation, provided Consilio with the employee’s email and laptop data for analysis by Riskcovery. Leveraging the text of the original CID along with other conceptual samples, our experts created a custom classification program for Riskcovery describing ideas of interest.

**Riskcovery identified and prioritized documents and emails conceptually similar to those in the custom classification, leading to 3,000 emails sent to a personal email account during the last three months of employment. The 2<sup>nd</sup> highest relevant document, based on the Riskcovery algorithm, was an email to the lab in question, detailing an explicit agreement to send all analyses in exchange for testing materials provided free-of-charge.** Other emails contained agreements with other labs and pharmaceutical companies as well as several including patient information – in violation of HIPAA regulations.

The client and counsel were able to justify further investigation of all of ESI and custodians identified by Riskcovery as well as assist in the development of the response to the DOJ.

*Ready to Learn More?*

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